

Galaxies, Near and Far

Conference In honour of Bob Fosbury

Lyman break galaxies at $z > 3$ and their
contribution to the ionizing UV background



Eros Vanzella (INAF - Trieste)

Collaboration

GOODS Team, ESO-GOODS (+ INAF-OAR)

Eros Vanzella - Perugia - May 2011

Outline

HI Re-ionization, attack the problem from two sides, $z \sim 3-4$ and $z > 7$:

- What are we learning (observationally) from star-forming galaxies @ $z \sim 3-4$ as “stellar ionizers” ?**
- New ultra-deep limits on escaping ionizing radiation from star-forming galaxies (GOODS) @ $z \sim 3.7$**
- Approaching the re-ionization epoch, first galaxies confirmed at redshift beyond 7**

Motivation: HI reionization

History of the Universe



Reionization

Ionized

First light

Neutral

WMAP τ_{es}

→ Reion. began at $z \sim 10-15$
(Dunkle+09)

QSO Gunn Peterson trough

→ IGM ionized by $z \sim 6$
(Fan+06)

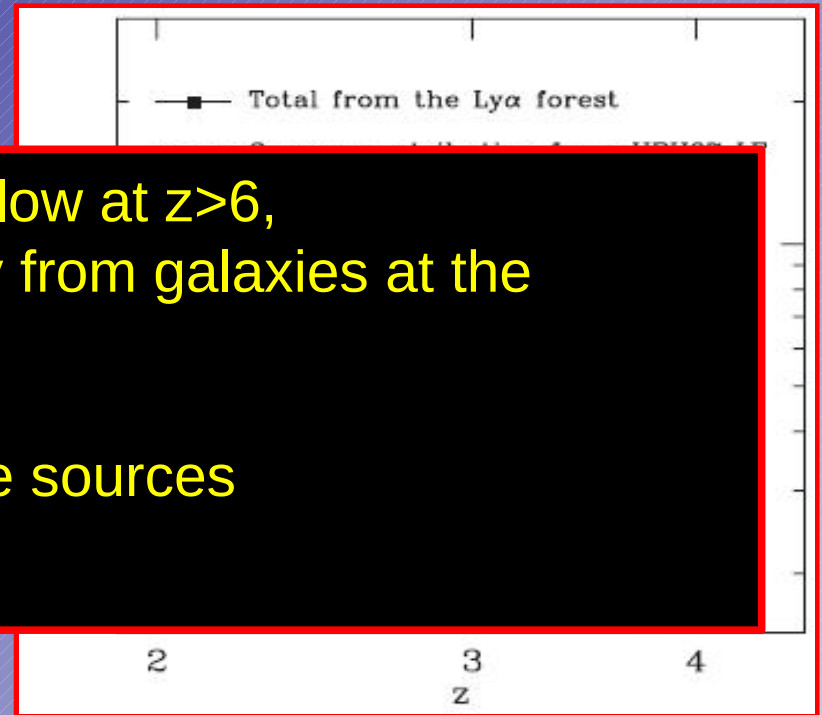
- What sources were responsible for reionization ?

- How reionization proceeds ?
(e.g. Multi-phases; Clustering; etc.)

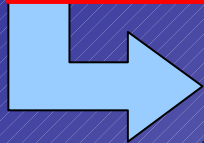
- What keeps the Universe ionized down to lower redshift ?

- ...

What reionize (and keep ionized) the Universe ?



- the transmission of the IGM is extremely low at $z > 6$, it is impossible to estimate the f_{esc} directly from galaxies at the epoch of reionization.
- measure f_{esc} at $z \sim 3 - 4$ and relate these sources to objects at $z > 6$

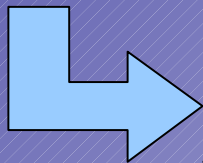


What is the ionizing photon production rate from galaxies and their contribution to the global ionization rate of hydrogen ?

Faucher-Giguere+08

$$\dot{\rho}_c(z) = \frac{0.027 M_{\odot}}{\text{Mpc}^3 \text{ yr}} \frac{C}{30} \frac{f_{\text{esc}}}{7} \left[\frac{1+z}{7} \right]^3 \left[\frac{\Omega_b}{0.0465} \right]^2$$

Pawlik+09



We must chart the abundance (LF) and SFR as a function of time (redshift) and estimate f_{esc} , (escape fraction) of ionizing photons from star-forming galaxies

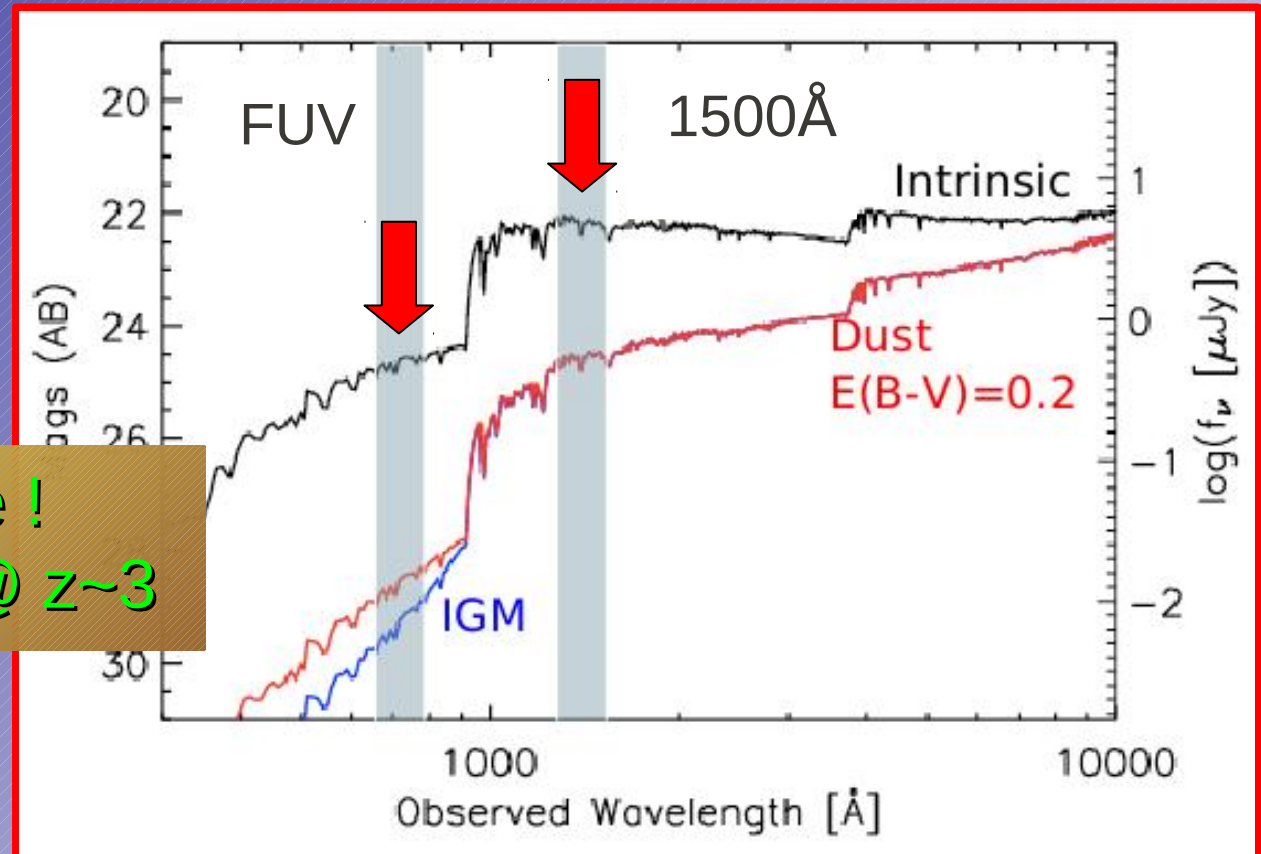
Estimating fesc: Method

$$f_{\text{esc,rel}} \equiv \frac{(L_{1500}/L_{900})_{\text{int}}}{(F_{1500}/F_{900})_{\text{obs}}} \exp(\tau_{900}^{\text{IGM}})$$

$$f_{\text{esc}} = 10^{-0.4A_{1500}} f_{\text{esc,rel}}$$

(Steidel et al. 2001, Shapley et al. 2006)

Intrinsic ionizing photons unknown:
commonly adopted strategy
is to compare the observed
flux at LyC to the observed
Flux at a frequency where
the intrinsic emissivity
can be inferred.



Difficult measure !
 $\Delta m \sim 5 \rightarrow f_{\text{esc}} \sim 8\% @ z \sim 3$

fesc from galaxies: theoretical predictions

Theoretical modeling (RT+SPH):

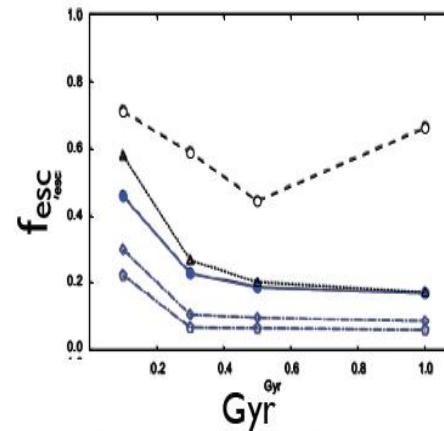
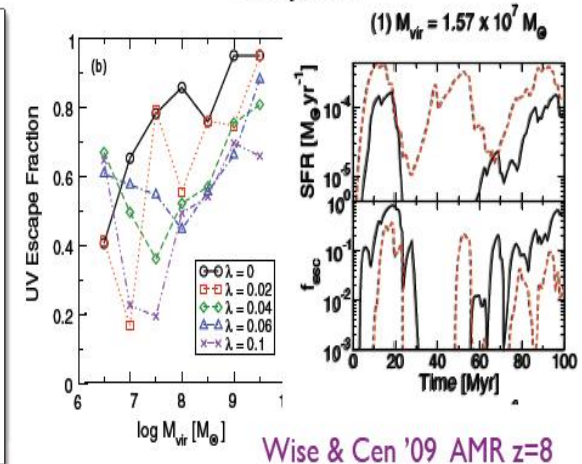
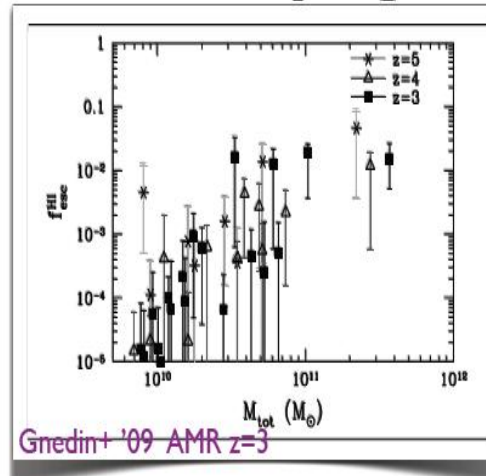
- $f_{\text{esc}} \downarrow$ if redshift \uparrow (Wood & Loeb+00)
- $f_{\text{esc}} \uparrow$ if redshift \uparrow (Razoumov+06)
- $f_{\text{esc}} \downarrow$ if halo mass \uparrow (Yajima+10)
- $f_{\text{esc}} \sim$ with redshift (Yajima+10)
- $f_{\text{esc}} \downarrow$ if halo mass \downarrow (Gnedin+08)
- $f_{\text{esc}} \uparrow$ for dwarf galaxies (Wise&Cen+09)

?

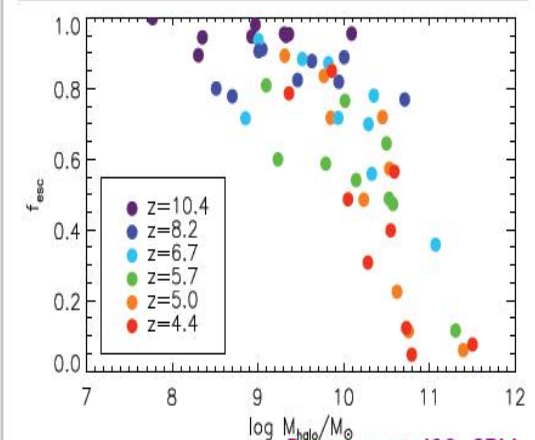
Large variance on the predictions

SF, feedback, radiation transfer and geometry of the ISM distribution, all important ingredients. Require a treatment of Radiative transfer

Varying results on $f_{\text{esc,ion}}$



Yajima, Umemura, Mori+ '08 Eulerian



Razoumov+ '09 SPH

fesc from galaxies: current observations

Z~0 fesc ~ 0.01-0.02 MW (Bland-Hawthorn & Maloney 1999; BH et al. 2001)
fesc < 0.02-0.05 (spec. Leitherer+95; Deharveng+01; Grimes+07)

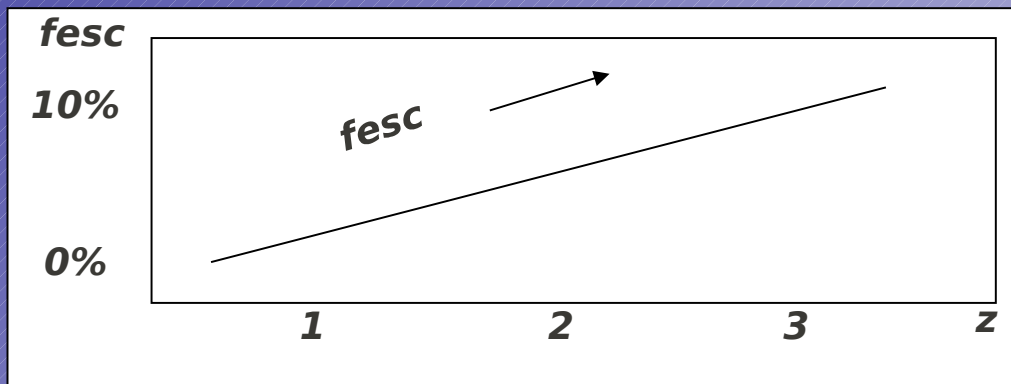
Z~1 fesc < 0.02-0.05 (Siana+10; Malkan+03; Cowie+09)

Z~3 fesc < 0.73 Inoue+05 (phot, 2 LBGs)
< 0.15 Fernandez-Soto+03 (phot, 27 LBGs)
< 0.16 Giallongo+02 (spec, 2 LBGs)
< 0.05 Boutsia+11 (phot, 11 LBGs) (LBT deep, ApJ accepted)
~~< 0.03 Vanzella+10c (phot, 102 LBGs, ApJ, 725, 1011)~~

fesc ~ 1.0 ! (spec. Stacking; 30 LBGs, Steidel+01)

fesc ~ 0.2 (spec. Stacking; 14 LBGs, Shapley+06)

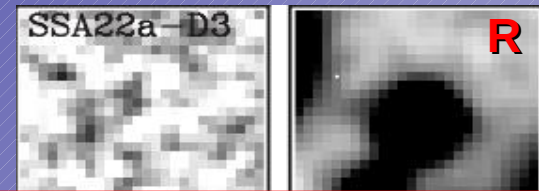
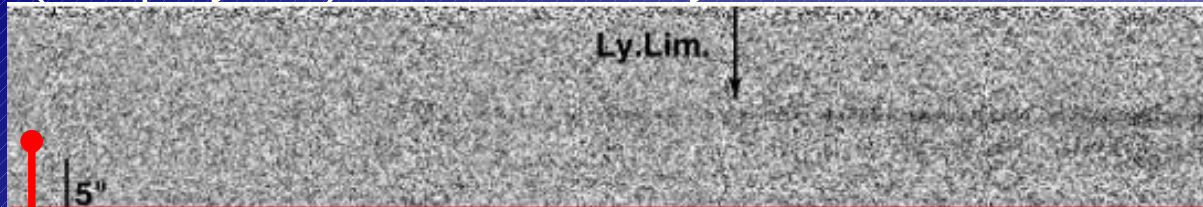
fesc > 0.2 (phot. NB, Iwata+09; Nestor+11 ~ 40 LBGs)



fesc from z~3 galaxies: problems

(Shapley+06) 2 LBG with LyC out of 14

NB imaging, Nestor+11;Iwata+09



STILL (BIG) UNCERTAINTIES ON THE CURRENT LyC EMITTERS !

- *SPEC*: Stack Steidel+01: not control of foreground contaminants
- *SPEC*: Shapley+06: one source not confirmed, the other unknown z
- *PHOT*: Iwata+09 and Nestor+11 (LAEs with NB):
 - A) Many are still high-z candidates
 - B) Not clear the AGN contribution
 - C) Majority (>70%) show LyC offset from UV, and anomalous (f1500/f900) ratio (i.e. f900>f1500) !

SSA22-C49 confirmed, but LyC is offset from UV continuum

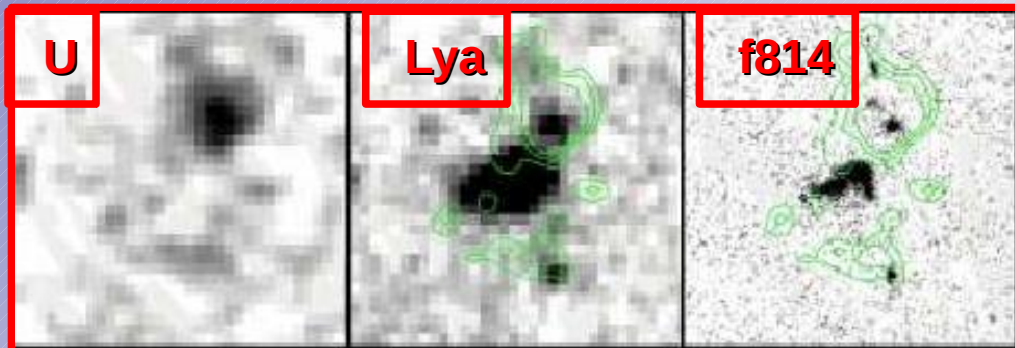
$$f_{\text{esc,rel}} \equiv \frac{(L1500/L900)_{\text{int}}}{(F1500/F900)_{\text{obs}}} \exp(\tau_{900}^{\text{IGM}})$$

LyC offset from UV
(the majority)

(Iwata+09 and Nestor+11

• ~40 LyC detections SSA22)

!?



Example

fesc from galaxies: current observations

Z~0 fesc ~ 0.01-0.02 MW (Bland-Hawthorn & Maloney 1999; BH et al. 2001)

fesc < (0.01-0.02 MW) (Primes+07)

Z~1 fesc < 0.0

Z~3 fesc < 0.7

< 0.1

< 0.1

< 0.0

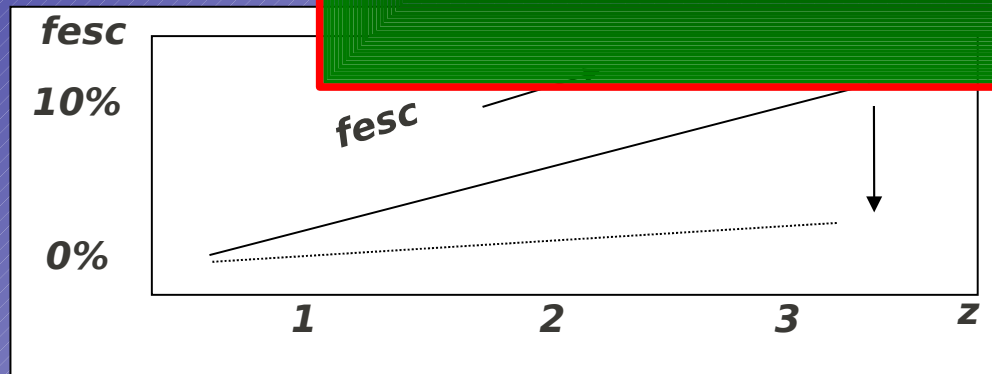
< 0.0

fesc ~ 1.0

fesc ~ 0.2

fesc > 0.2

**With GOODS we
can monitor
systematics and
derive deeper
constraints !**



Less evident evol.



**GOODS & HUDF
are currently
the deepest
panchromatic
surveys!**

GOODS-S

The Great Observatories Origins Deep Survey (GOODS) started in ~ 2000:

see www.eso.org/sci/activities/garching/projects/goods.html

Two fields, in the north and south, **320 sq. arcmin** in total.

The Spitzer Legacy Program (P.I. M. Dickinson, Dickinson+03)

The HST Treasury Program (P.I. M. Giavalisco, Giavalisco+04)

...

2008-2010 : ESO optical spectroscopy releases

2009 : ESO Ultra-deep U-band imaging (GOODS-S) ESO/VLT

Dec. 2009 : First *Herschel* observations of the GOODS fields

Future:

2011 - ... : **CANDELS** survey, HST Multicycle Treasury
Program/ WFC3 (P.I. H. Ferguson, S. Faber)

2011 - ... : Gemini / FLAMINGOS-2 infrared spectroscopic
survey of GOODS-South

Wavel.	Observatory
- X-ray	Chandra (4Ms) + XMM
- UV	GALEX
- 0.3 um	ESO/VLT (U-band VIMOS)+KPNO+CTIO
- 0.4-0.9 um	HST/ACS + ESO/VLT (new B-band ongoing)
- 1.0-2.2 um	HST/WFC3 (CANDELS)+ESO/VLT (K,Y-bands)
- 3.6-8.0 um	Spitzer/IRAC
- 24,70 um	Spitzer/MIPS
- 110,160um	Herschel/PACS
- 250-500um	Herschel/SPIRE
- 850um	Scuba (Sub-mm)
- Radio	VLA (ALMA is coming)

+ *Hubble Ultra Deep Field* + *Narrow band imaging*, e.g.
Cardamone et al. 2010; Hayes et al. 2010; ...

ESO-GOODS Team

ESO:

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**Strong
constraints
on fesc
at $3.4 < z < 4$
Vanz+10**

ESO-GOODS Observations

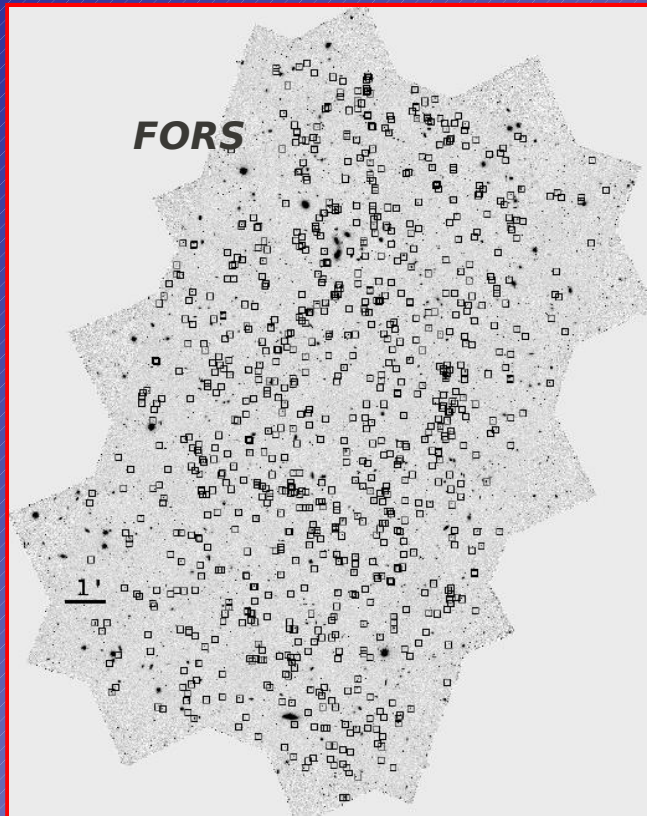
Campaign	Description (Instrument/Technical Specs)	Observing Time	Data Release/ date	Data Presentation Publications & PRs
Near IR Imaging	ISAAC in J,H,K _s bands, 170 arcmin ² to ~25 AB mag (5σ) ISAAC Ks-band of the HUDF to 25.6 AB, 0.36" seeing	476 h 25.3 h	ISAAC v2.0/ 2007-09-10 ISAAC HUDF v1.0/ 2010-03-02	→ Retzlaff et al. 2010
U&R-band Imaging	VIMOS U and R-band, 400 arcmin ² to U~29.8 and R~29 AB mag (1σ)	40 h	VIMOS img v1.0/ 2009-04-24	→ Nonino et al. 2009 → ESO PR 39/08
FORS2 Spectroscopy	FORS2/300I grism (5500-10000 Å) 1635 spectra of 1236 targets, 887 redshifts out z=6.3	130 h	FORS2 v3.0/ 2007-10-31	→ Vanzella et al. 2008 → Spectra search engine
VIMOS Spectroscopy	VIMOS LR Blue (3500-6900 Å) 3634 spectra of 3271 targets, 2040 redshifts (1.8 < z < 3.5) VIMOS MR (4000-10000 Å) 1418 spectra of 1294 targets, 882 redshifts (z < 1 & z > 3.5)	120 h	VIMOS v1.0/ 2008-02-18 VIMOS v2.0/ 2009-12-15	→ Popesso et al. 2008 → Balestra et al. 2010

The ESO spectroscopic contribution to GOODS-S

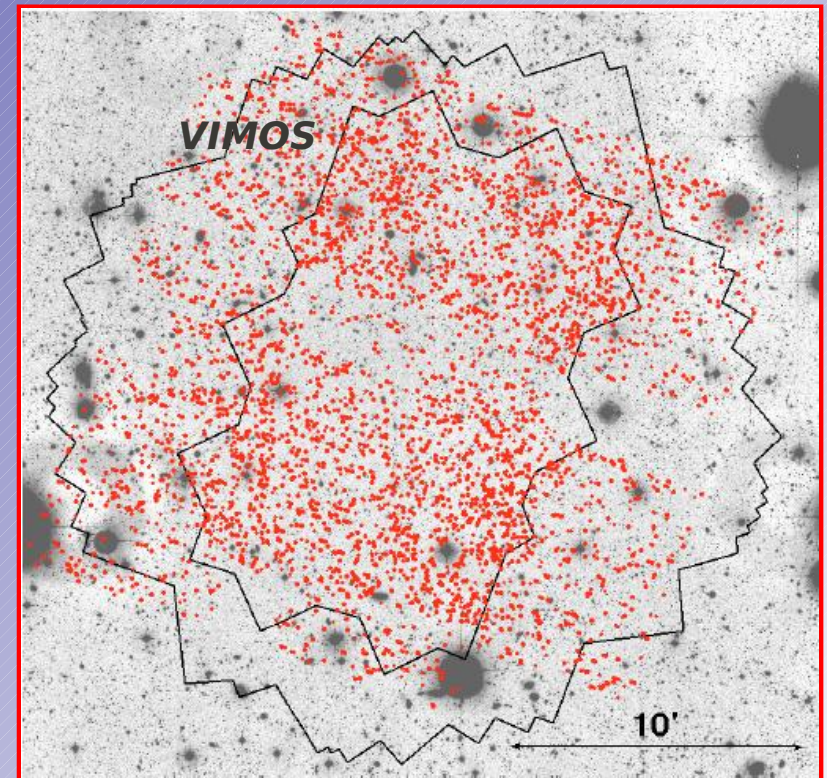
Releases available here: <http://www.eso.org/sci/activities/garching/projects/goods.html>

VLT/FORS2 (Vanzella et al. 2005, 2006, 2008): 38 MXU masks
~1000 redshifts $0.5 < z < 6.2$ (R=660)

VLT/VIMOS (Popesso et al. 2009; Balestra et al. 2010):
~3000 redshifts $0 < z < 4.5$ (MR and LR)

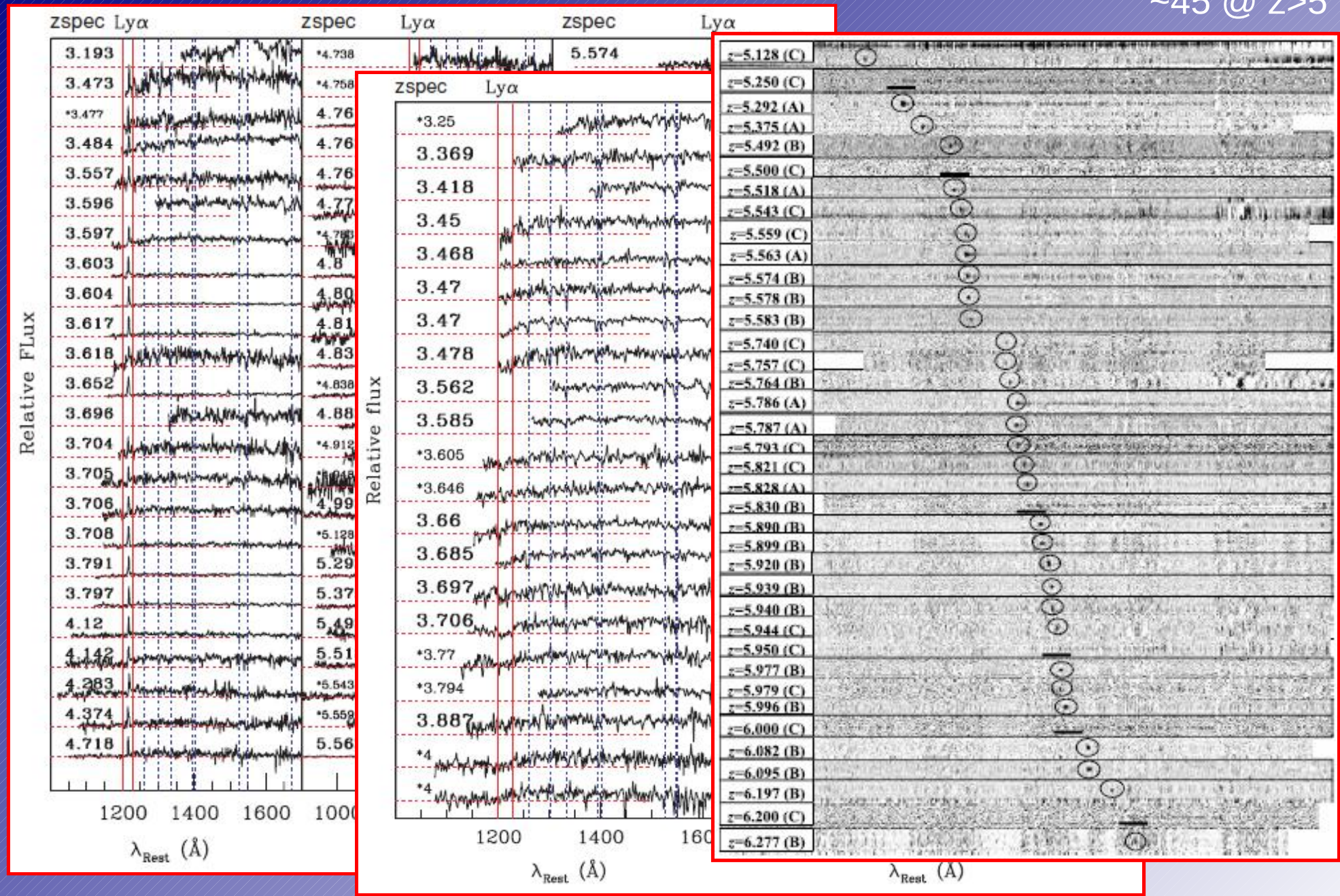


**4000
redshifts
released!**



All 1D FORS2 spectra in the GOODS-S survey (Vanzella et al. 2009)

~45 @ $z > 5$



Ultra-deep VLT/VIMOS U-band survey (Nonino+09)

Mag-U 30.5 AB at 1σ (1.2'' diam.)
It is probing LyC 700Å-900Å for galaxies
at $3.4 < z < 4.5$

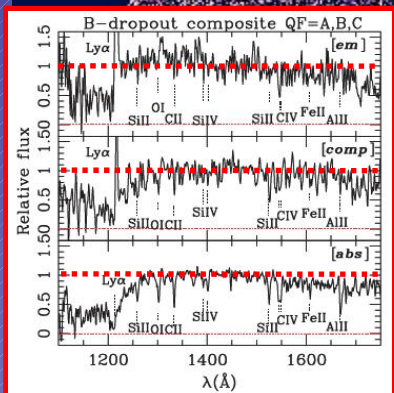
**1) Study the probability of foreground contamination
(Vanzella et al. 2010b, MNRAS)**

2) Look for systematics (Vanzella et al. in preparation)

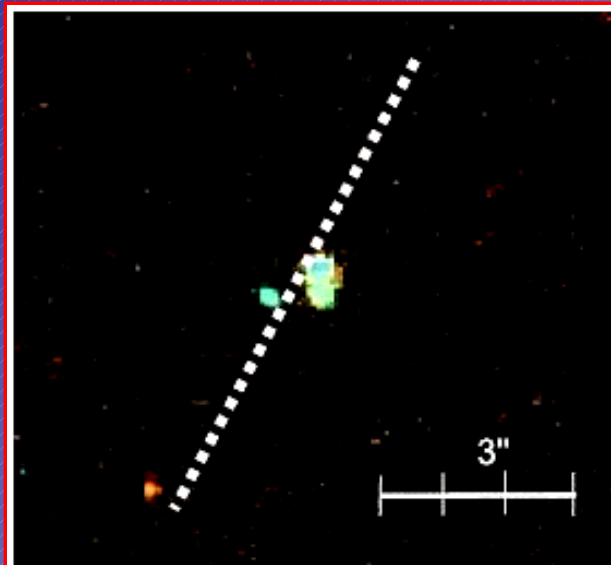
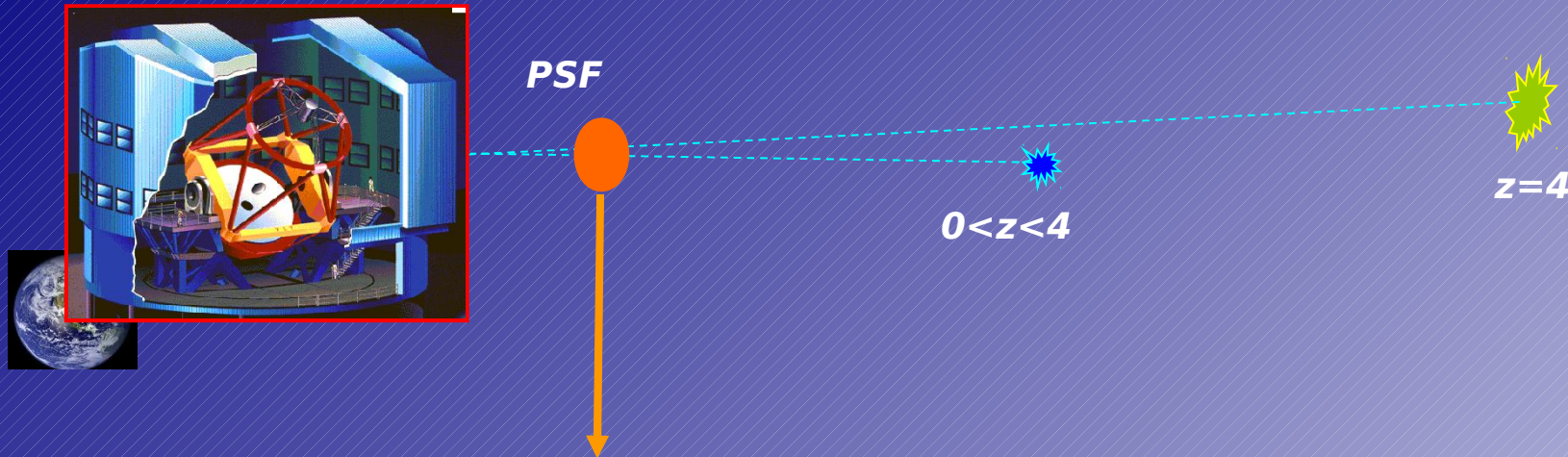
**3) Put solid limits on fesc at redshift 3.8
(Vanzella et al. 2010c, ApJ)**

4.5 arcmin.

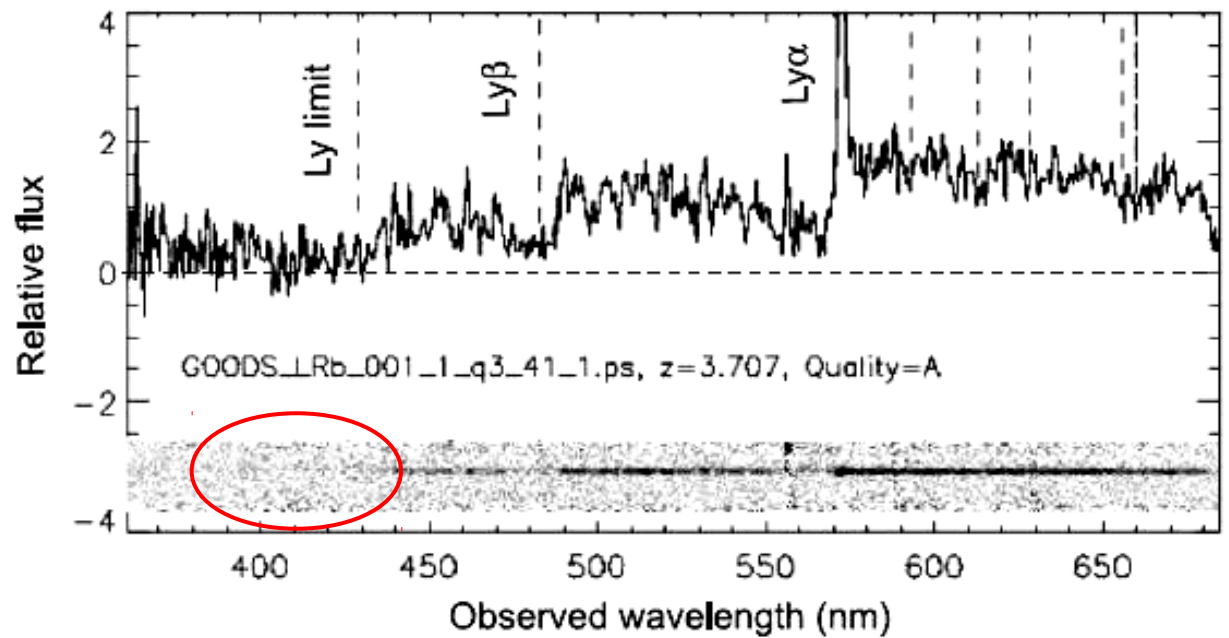
GOODS-S



Foreground LyC contamination: example I



J033156.8-275151.9

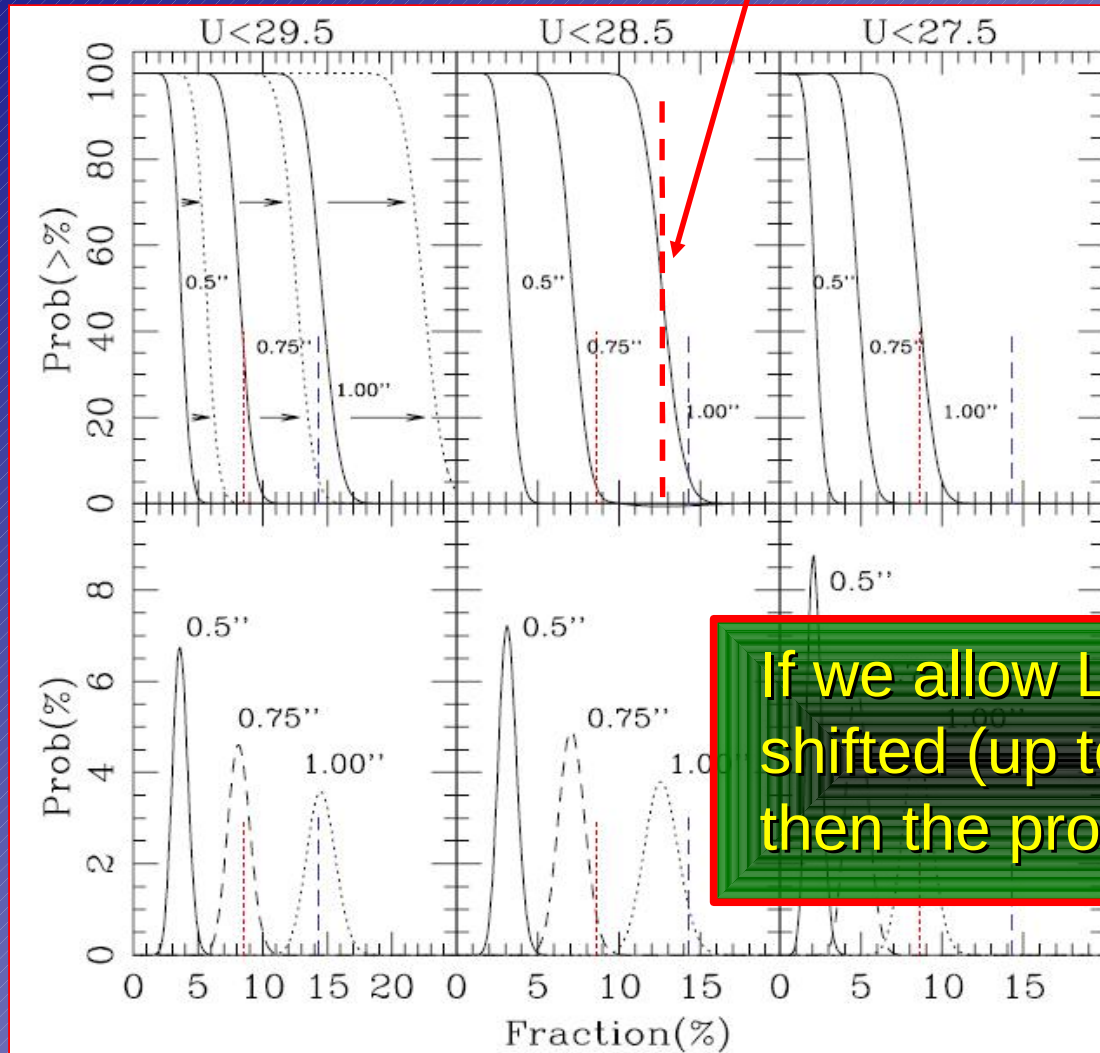


zphot+IGM transverse test + fesc<100% – Vanzella et al in preparation

Foreground contamination: expected probability (Vanzella et al. 2010b)

- 1) Given the (ultra-deep) U-band number counts
- 2) Assuming an image spatial resolution (PSF - seeing)

50% prob. that at least 13% is cont.

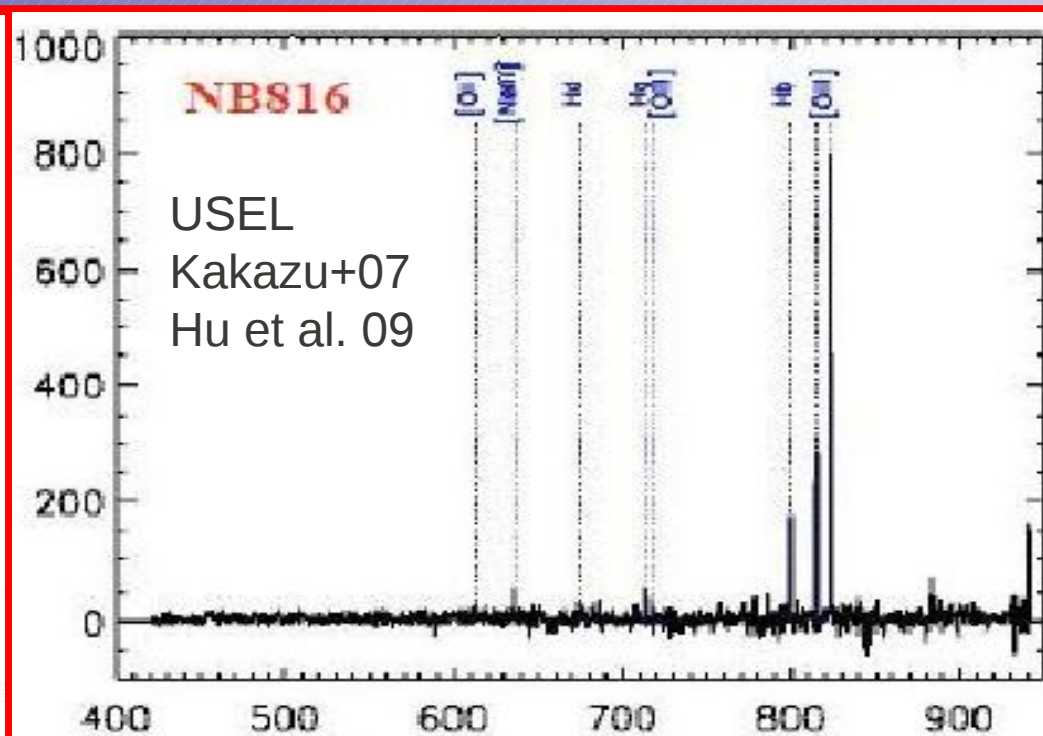
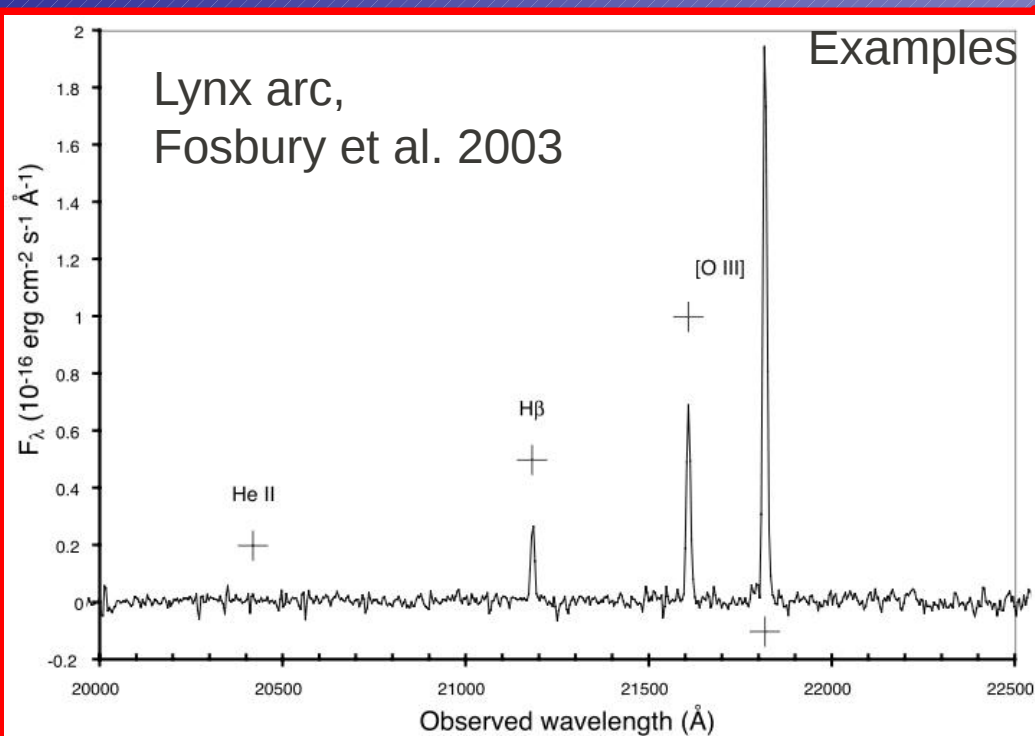
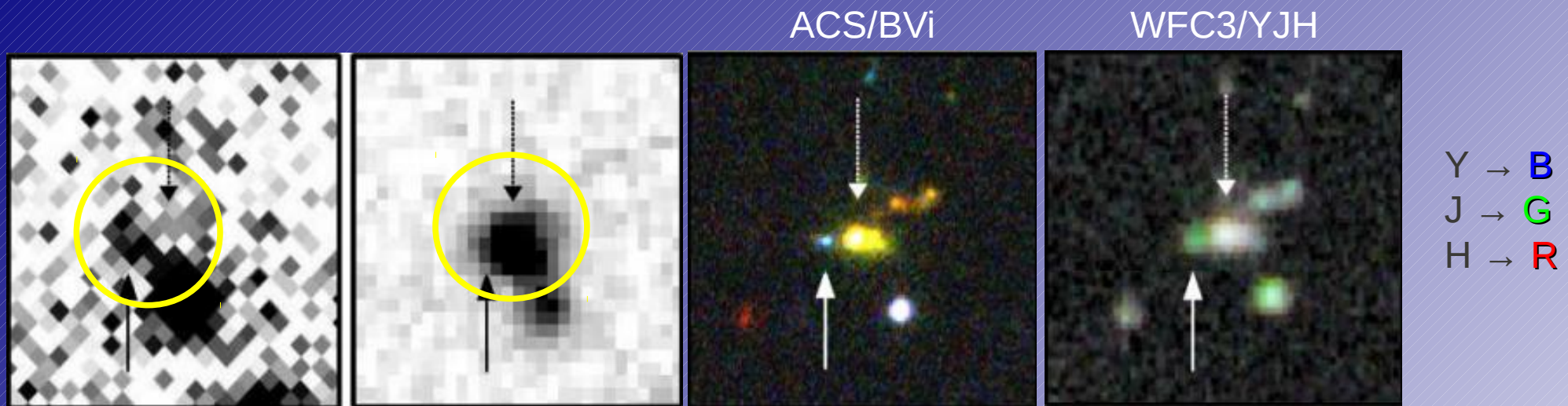


Probability to observe K contaminated sources $f(K)$, or at least K contaminated sources $P(\geq K)$ in a sample of N high- z Galaxies, given the probability p of the single case :

$$f(K) = \binom{N}{K} p^K (1-p)^{N-K}; \quad P(\geq K) = \sum_{i=K}^N f(i).$$

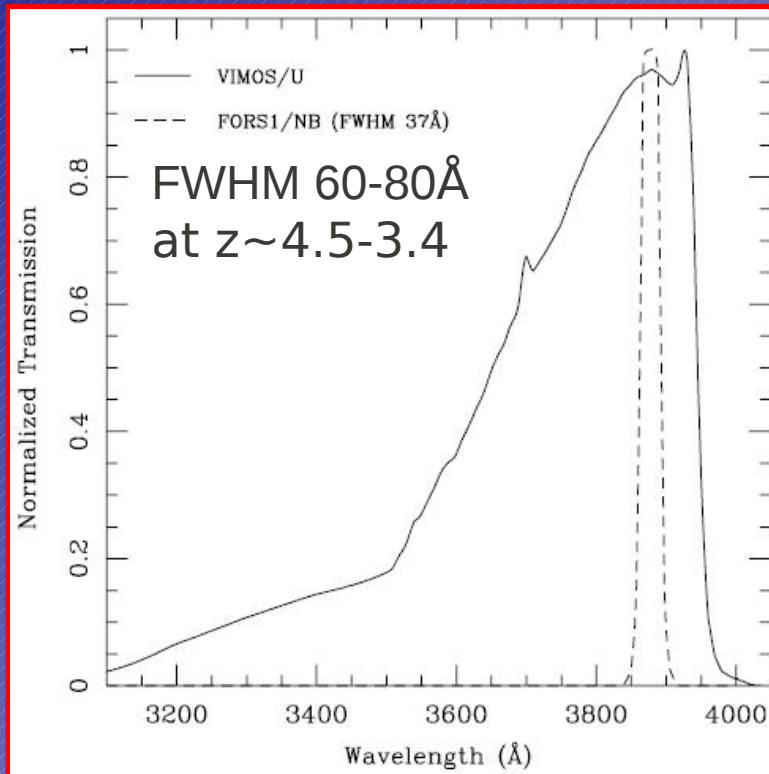
If we allow LyC to be spatially shifted (up to 2'') from UV then the prob. are even more higher!

Foreground LyC contamination: extreme example $U(AB) \sim 28.63$ ($z=3.8$)



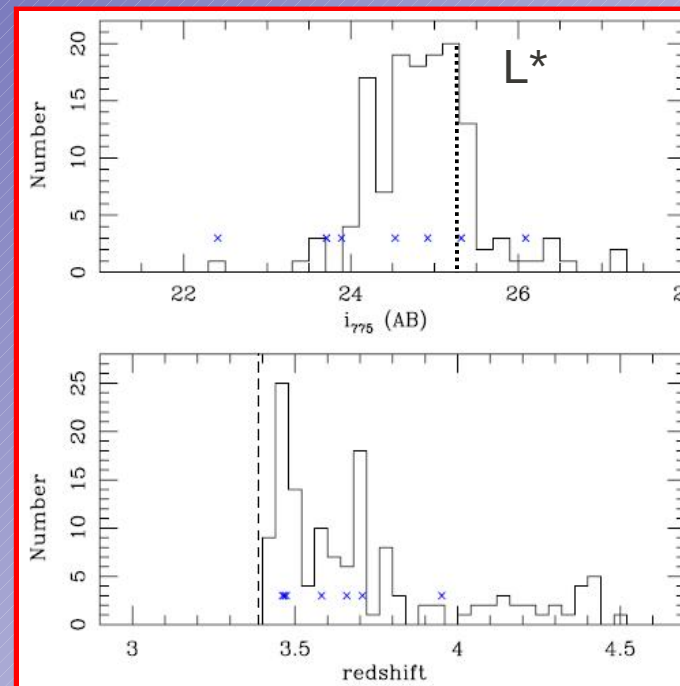
Estimating the fesc distribution of LBGs at $z \sim 3.7$ from GOODS

- 1) Select sources with secure redshift in the range $3.4 < z < 4.5$ (136)
- 2) Clean the sample from foreground contamination
- 3) Exclude AGNs (but very useful as a control sample about IGM...)
- 4) Run MC simulations in order to determine the expected number of U-band detections (i.e. LyC detections) for the observed sample as a function of fesc
- 5) Derive limits on fesc stacking all sources



Vanzella et al 2010c

$3.4 < z < 4.5 \Rightarrow$ probing $\lambda_{\text{rest}} 912\text{\AA} - 700\text{\AA}$
134 objects with $3.4 < z < 4.5$



Cleaning the sample: 32 out of 134 sources with $> 2\sigma$ detection

Only one LBG detected !

GOODS ID	S/N 1"2	S/N 2"1	i_{775}	$i_{775}-z_{850}$	zspec	β	Comment
J033204.94-274431.7	2.1	7.2	23.71	0.019	3.462 ^{d,b}	-1.9	AGN, N v, C iv; X-ray no
J033216.64-274253.3	5.2	4.4	24.86	-0.015	3.795 ^c	-2.1	LBG, Si iv, C iv (abs); X-ray no
J033238.76-275121.6	3.3	3.4	26.09	0.11	3.951 ^{f,a}	-1.5	AGN, C ii, C ii], C iv; X-ray yes
J033244.31-275251.3	2.9	2.0	23.89	-0.11	3.466 ^{c,e}	-2.6	AGN, N v, Si iv; X-ray yes

All the rest are lower redshift galaxies that mimic the LyC (offset) emission (Vanzella et al. in prep.)

- zphot
- LAF transverse at 20 kpc physical separation (close pairs)
- fesc > 1 !!

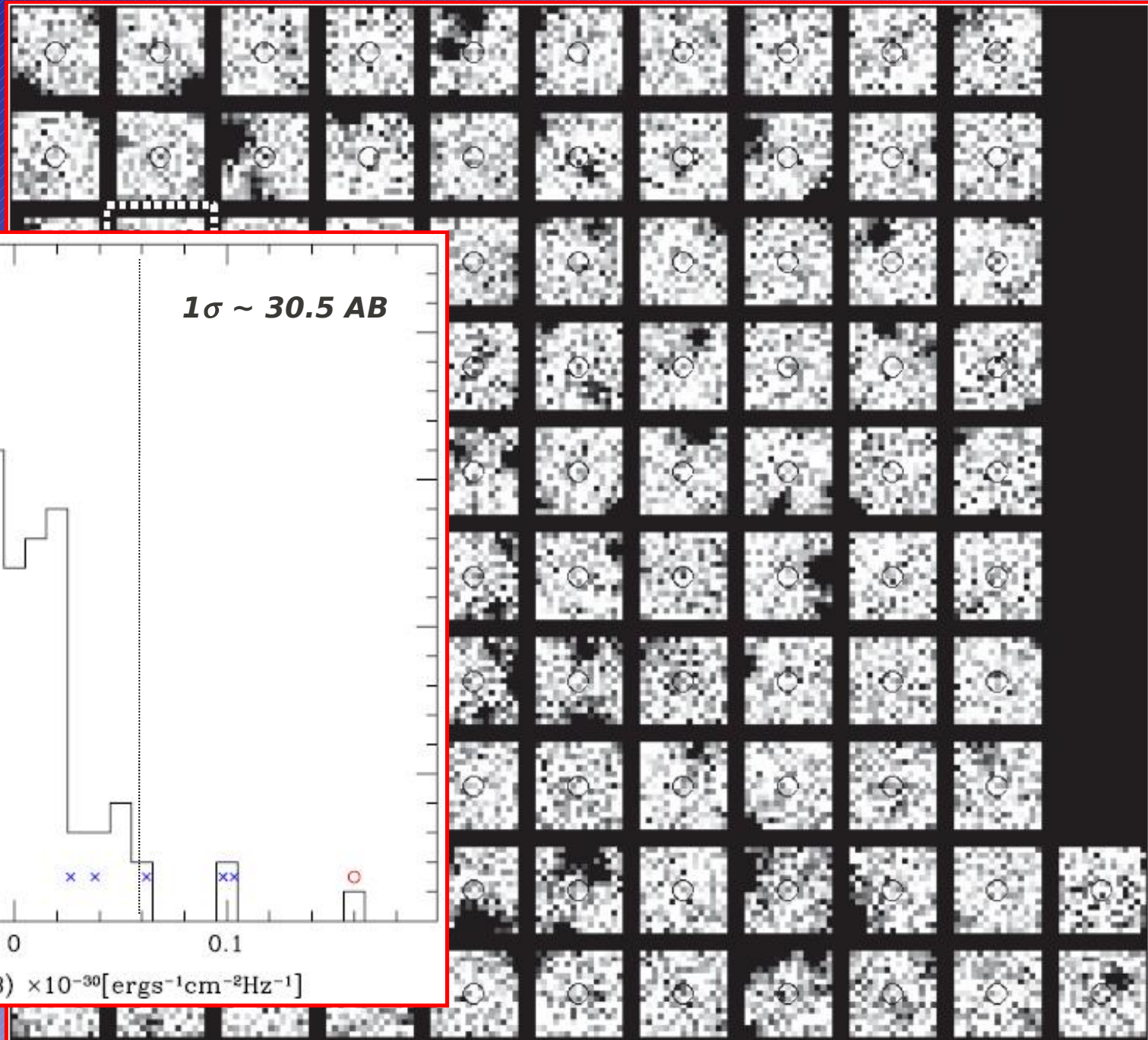
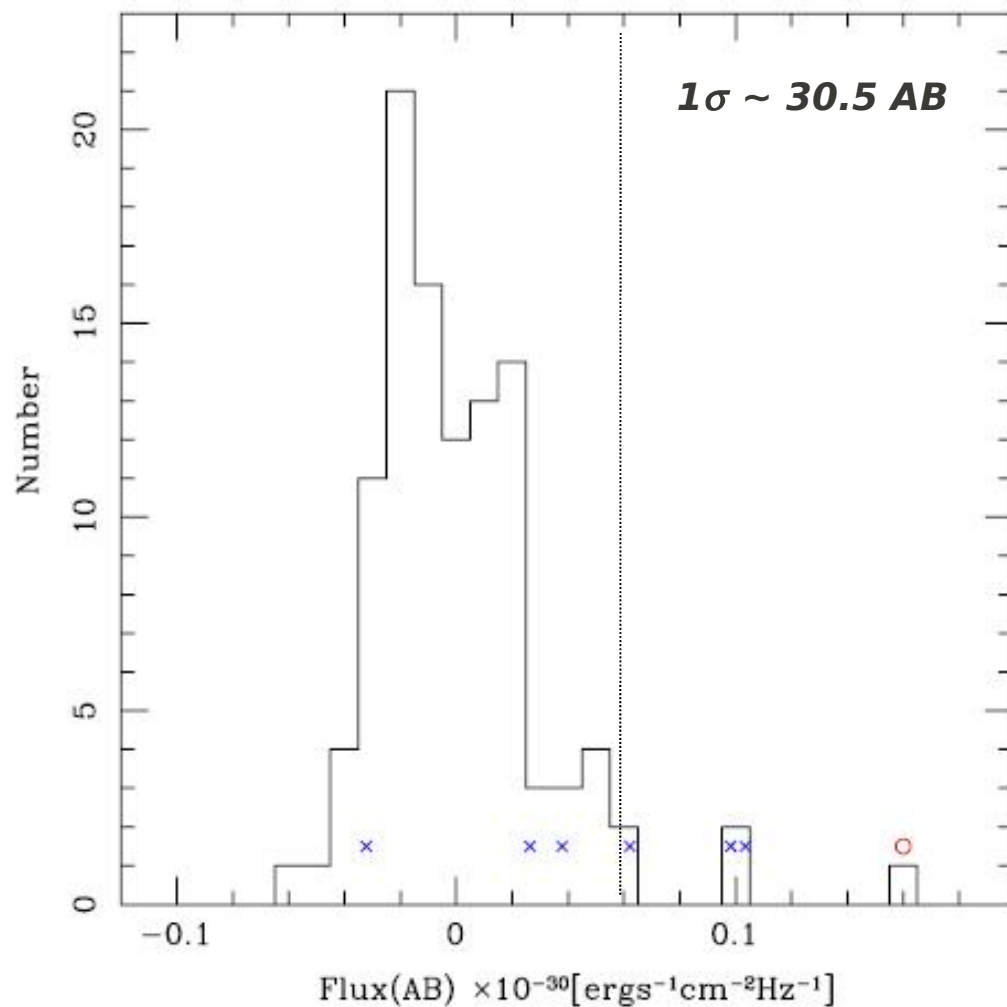
With GOODS we can do this check

The clean sample: 102 LBGs $\langle z \rangle = 3.8$

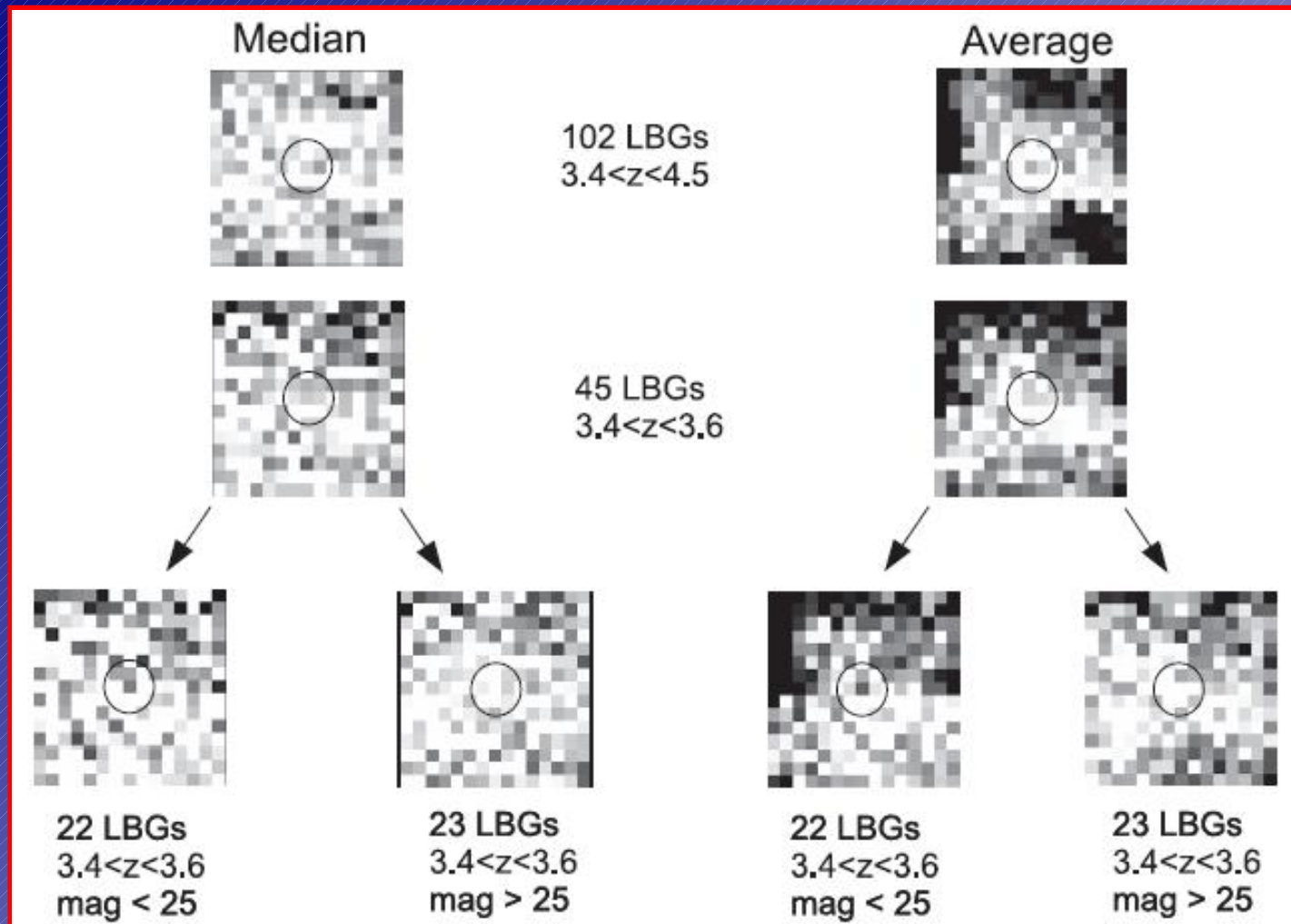
(No x-ray from stack)

Lyc 830-860 Å

$1\sigma \sim 30.5 \text{ AB}$



Stacking all sources: $f_{1500}/f_{900} > 1400$!



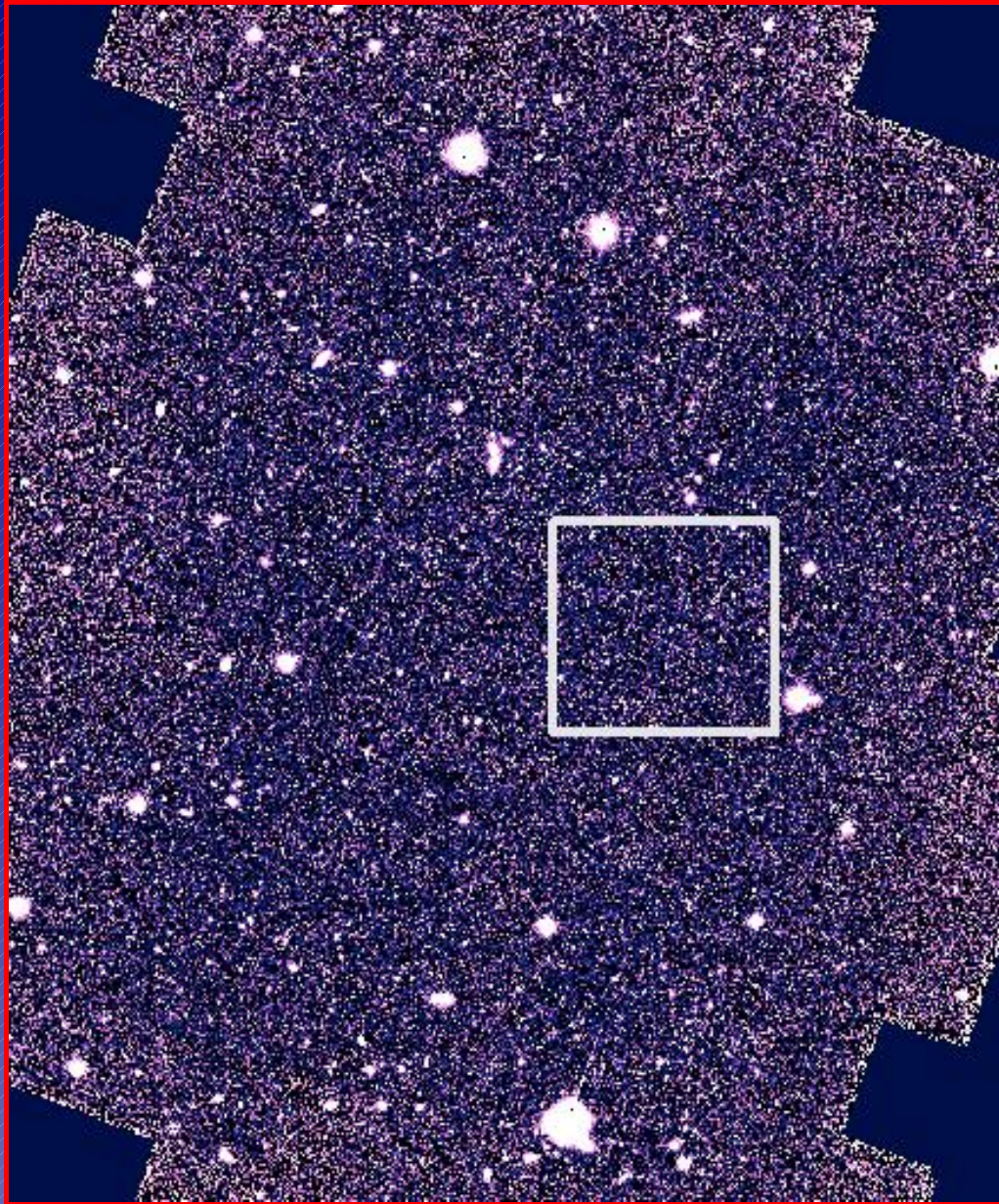
$\Delta m \sim 8$ mags
Down to $U(AB) \sim 33$

$f_{\text{esc}} < 0.02$

$$f_{\text{esc,rel}} \equiv \frac{(L_{1500}/L_{900})_{\text{int}}}{(F_{1500}/F_{900})_{\text{obs}}} \exp(\tau_{900}^{\text{IGM}})$$

Assumed an $T_{\text{igm}} = \exp(-\tau) = 0.2$ at $\langle z \rangle = 3.6$
 • and $L_{1500}/L_{900} = 7$, and $A_V \sim 0.7$ from SED fit
 • $f_{\text{esc}} < 0.02$.

MC simulations to constrain the fesc distribution



Given the 102 LBGs how many of them do we expect to detect in the ultra-deep U-band image? I.e. how many of them do we expect to detect in their LyC ?

$$f_{\text{esc,rel}} \equiv \frac{(L1500/L900)_{\text{int}}}{(F1500/F900)_{\text{obs}}} \exp(\tau_{900}^{\text{IGM}})$$

Steidel+01

$$f_{\text{esc}} = 10^{-0.4A_{1500}} f_{\text{esc,rel}}$$

Inoue+08,+10, IGM convolved with filter

From BC,S99 models (Siana+07; Inoue+05)

From SED fitting

Observed

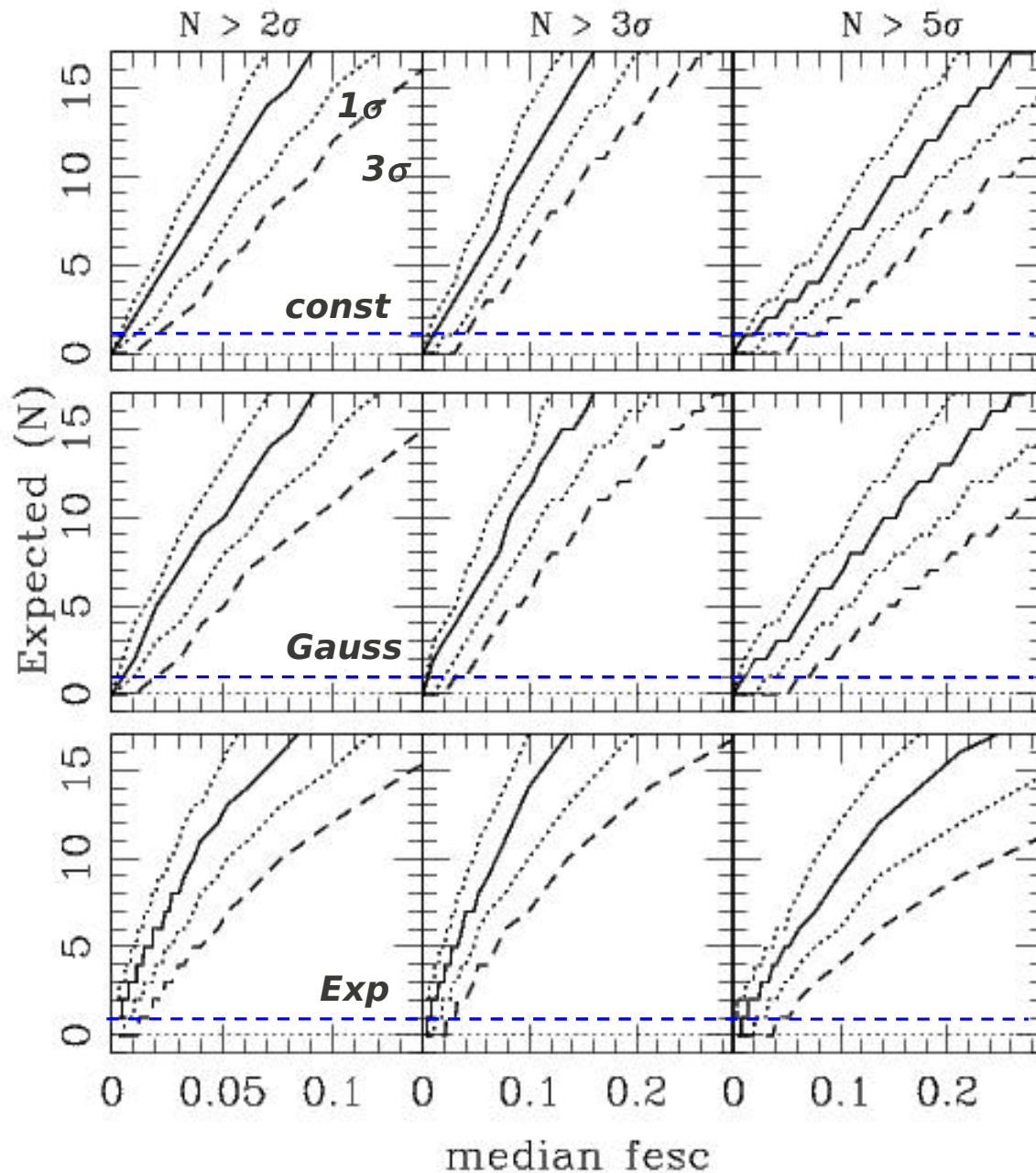
$$F_{\text{LyC}} = \left(\frac{L_{\lambda_{\text{rest}}}}{L_{1500}} \right)_{\text{int}} f_{\text{esc}} \times (F_{1500})_{\text{obs}} \times e^{-\tau_{\lambda}^{\text{IGM}}} \times 10^{0.4 \times A_{1500}}$$

It is investigated: Exp, Gauss, logNorm: Median and 84-percentile 10000 realizations for each distr.

Photometric noise is also added

MC simulations: results

U-mag 29.5 29.1 28.6



Including recent IGM transmission

- Prochaska+10; Songaila+10
- (LLS statistics @ $z \sim 3 - 4$)

**fesc < 3-4% and 1σ disp. < 15%
at 3 sigma depth**

Contribution to the UV background

$$\rho_{900,esc} = \rho_{1500} \frac{L_{900}}{L_{1500}} f_{esc}^{rel} = \rho_{1500} \left(\frac{f_{900}}{f_{1500}} \right)_{obs} \exp(\tau_{900}^{IGM}) \quad \text{erg/s/Hz/Mpc}^3$$

... we have one
LyC detection !

From our

(a+11):

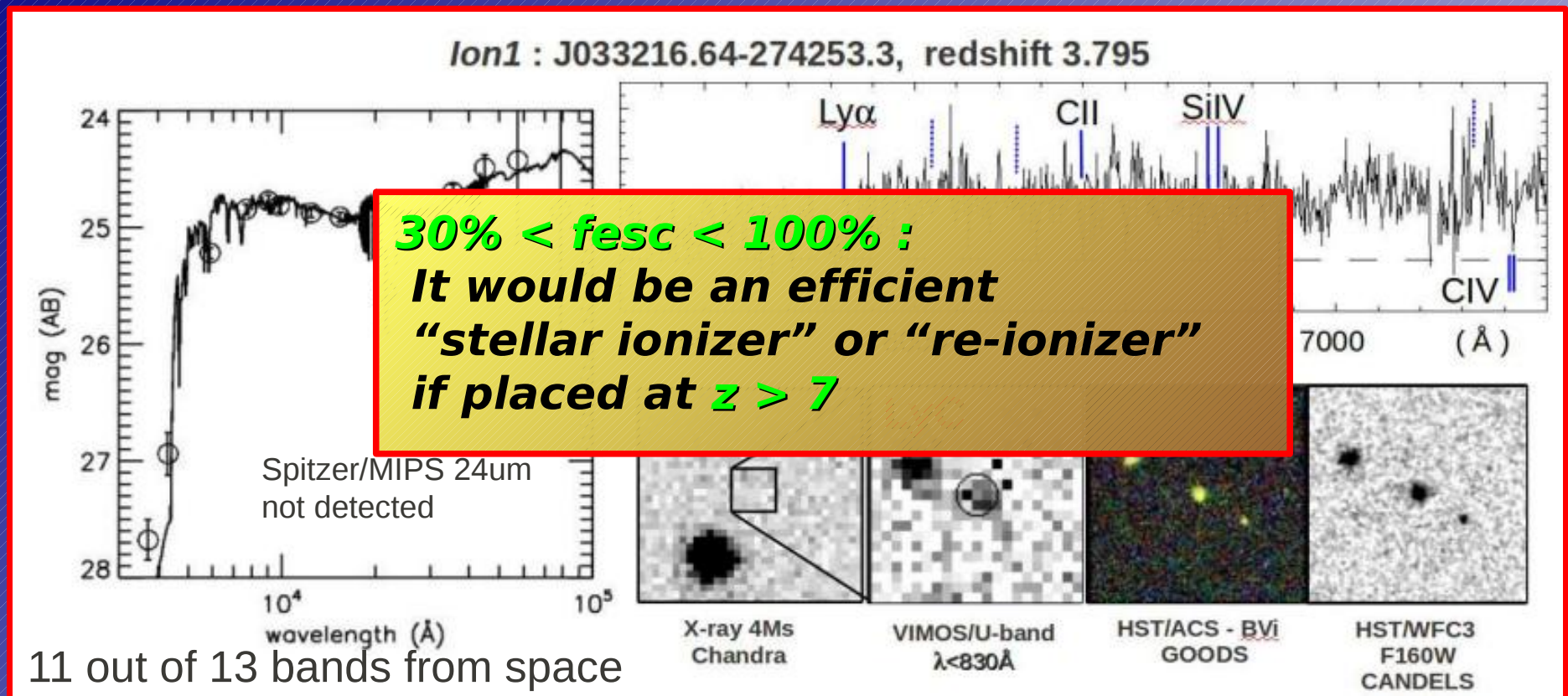
Assuming
(LF Bolton)

Galaxies contribute to <10-15% at $z \sim 3.7$ (Bolton+05)

a) Either we need more QSOs, but in tension with observations, (e.g. Prochaska+09; Siana+09; Haardt+11) or faint AGNs... (but see Glikman+11)

b) if star-forming galaxies are doing the job, then f_{esc} has to increase at lower luminosities

LyC detection at $z \sim 3.8$ (unique in the 102 LBG sample)



Note:
NOT SELECTABLE
WITH NB Ly α
IMAGING

Deviation from the LBG population ?

From SED fitting & optical spectrum:

- $M^* \sim 2 \times 10^9 M_{\odot}$,
- $SFR \sim 50 M_{\odot}/\text{yr}$
- $EBV \sim 0.0-0.03$, $\beta = -2.1$
- $R_{\text{hlr}} 0.9 \text{ kpc}$ (B-band rest-frame),
- **No Ly α ,**
- weak ISM lines,
- No X-ray (4Ms,)

Looking at $z \sim 7$ galaxies (P.I. A. Fontana) (Castellano+10; Fontana+10)

Deep spectroscopic survey with VLT/FORS2, 3 masks 17h exposure each :
GOODS-S (Giavalisco+04), **NTTDF** (Arnouts, D'Odorico+98), **BDF**

9400A

9900A

slit3

slit4

slit5

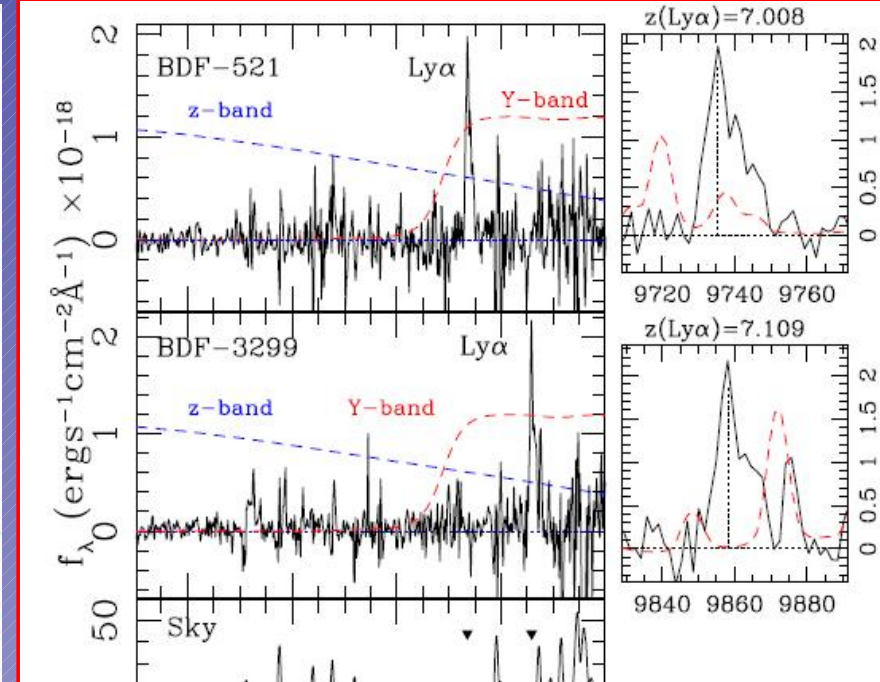
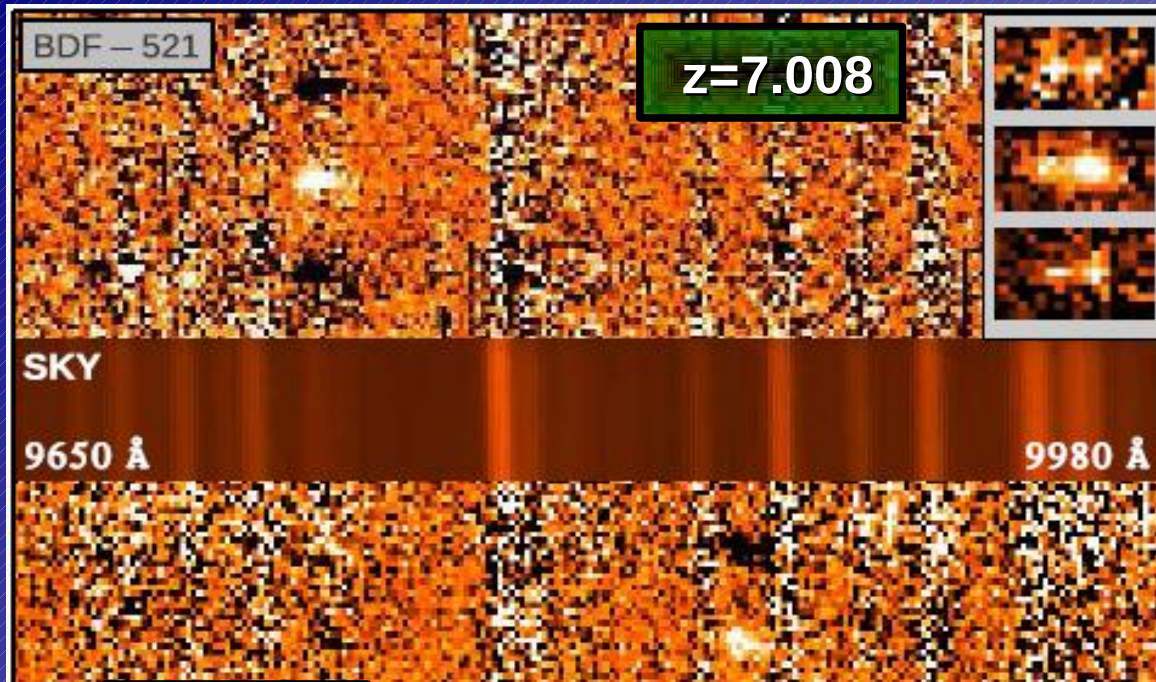
slit6

slit7



**Ly α at $z=6.973$? (Fontana+10)
17h exposure**

Spectroscopic confirmation of two LBGs at $z > 7$ (Vanzella+11, ApJL)



Only 2 confirmed $z\sim 7$ candidates with $\text{Ly}\alpha$ EW $> \sim 50\text{\AA}$ out of 17 solid $z\sim 7$ candidates in three fields (the two are in the same field, sep. 4.4 Mpc proper) (2 out 17 \Rightarrow prob $< 5\%$ if the observed trend at $z < \sim 6$ is assumed)

See also yesterday paper (Clement et al. 2011):
zero candidates @ $z=7.7$ with deep NB imaging with ESO/HAWK-I,
expected 13 if $\text{Ly}\alpha$ LF of Ouchi+10 @ $z\sim 6$ is assumed

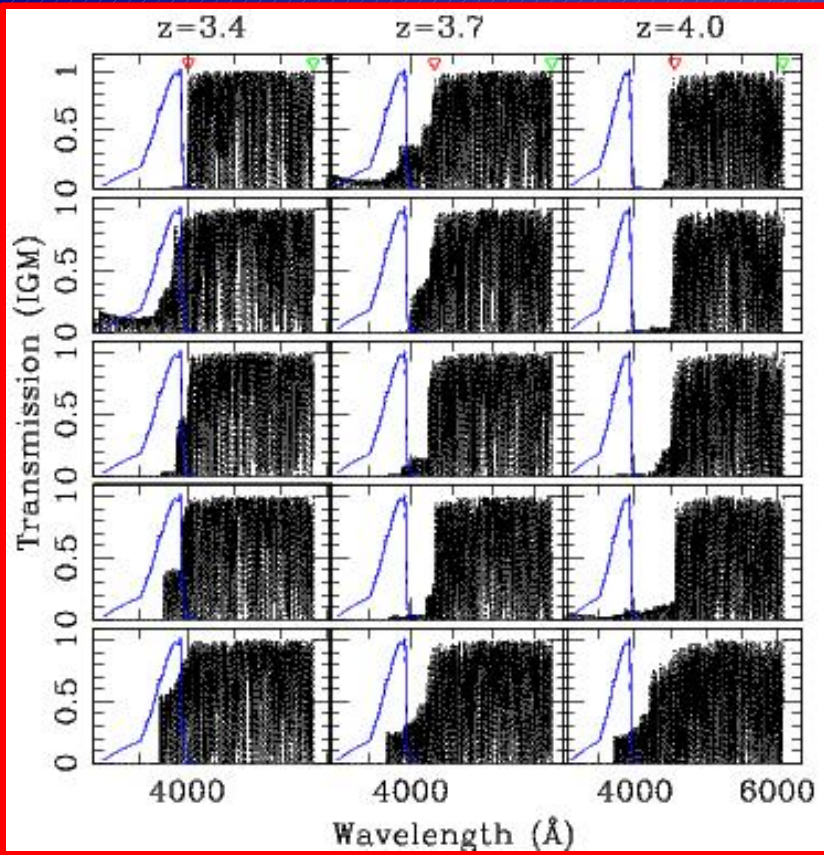
WHY ? Possible options:

- 1) A significant fraction (at least 50%) of z -drop candidates are not at $z\sim 7$
- 2) Change in emission properties: the fraction of $\text{Ly}\alpha$ emitters suddenly drops from $z\sim 6$ to $z\sim 7$, reversing the observed trend
- 3) $\text{Ly}\alpha$ is quenched by IGM

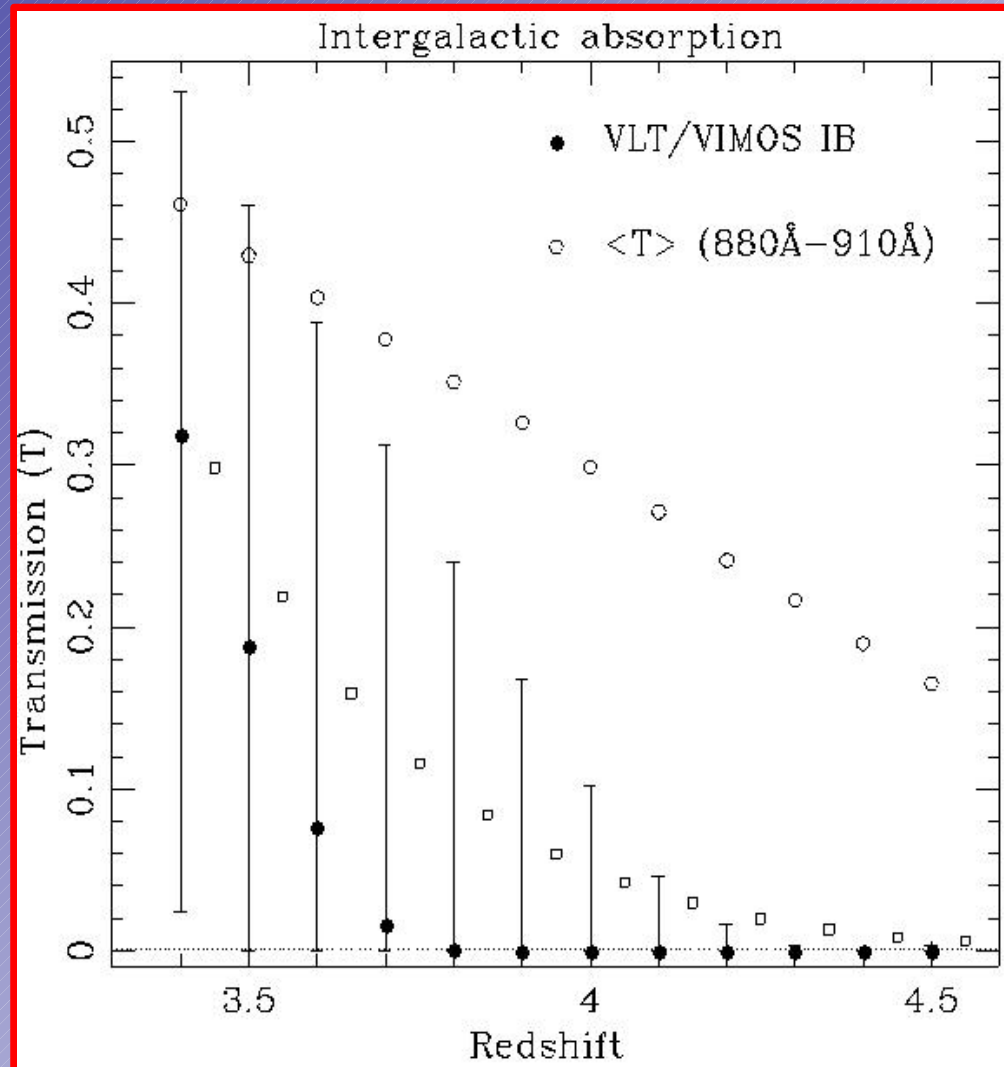
Conclusions

- Ionizing UVB (I): Redshift evolution of f_{esc} is not clear at all (foreground contamination is not negligible → **GOODS data + CANDELS**)
- Ionizing UVB(II): LBGs with $3.4 < z < 4.5$ with $L \geq L^*$ have $f_{\text{esc}} < 3\%$ **Galaxies are not sufficient** to account for the total UV ionizing background @ $z \sim 4$ if these limit apply for all luminosities.
- If we think that stars are the main responsible $z > 3.5$, the **f_{esc} in galaxies (stellar ionization) has to increase at fainter luminosities.**
- Possible identification of a **“stellar ionizer”** at $z = 3.8$ with $30\% < f_{\text{esc}} < 100\%$ (the highest- z known so far). Further investigations are needed... (ESO proposal).
- Identification of redshift 7 galaxies maybe difficult because the re-ionization is still in progress ($\text{Ly}\alpha$ photons attenuated by HI) : however the **discovery of 2 redshift > 7 LBGs** is promising:
 - a) validate the selection technique, study their physical properties
 - b) the occurrence of $\text{Ly}\alpha$ emission increases with redshift $3 < z < 6$, the absence of $\text{Ly}\alpha$ lines may be a signature of an IGM not fully ionized at $z \sim 7$ (work in progress, Pentericci et al. in prep.)

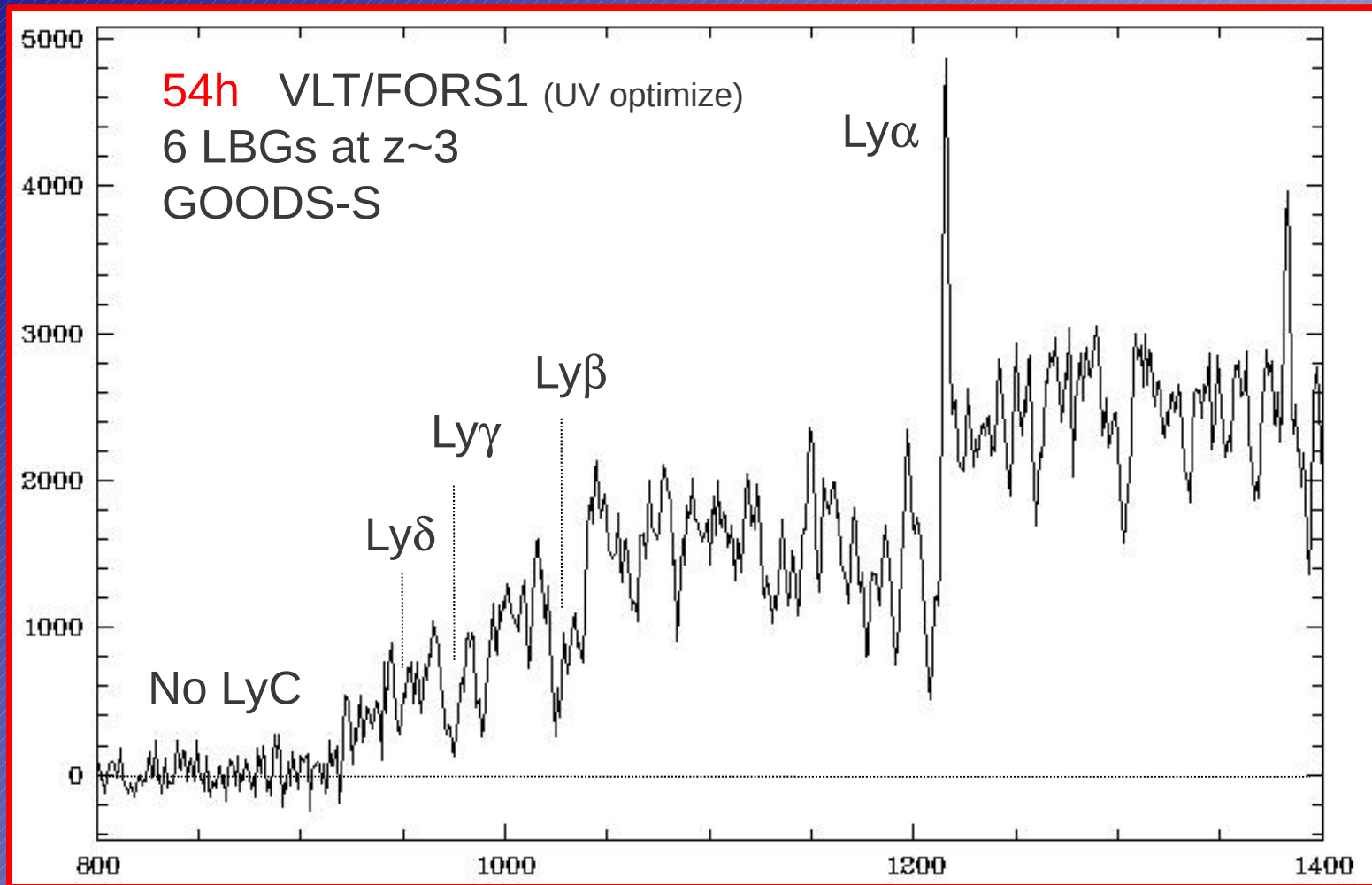
IGM LyC TRANSMISSION (Inoue et al. 2008; 2011)



10000 random line of sights
for each redshift ($\Delta z=0.1$)



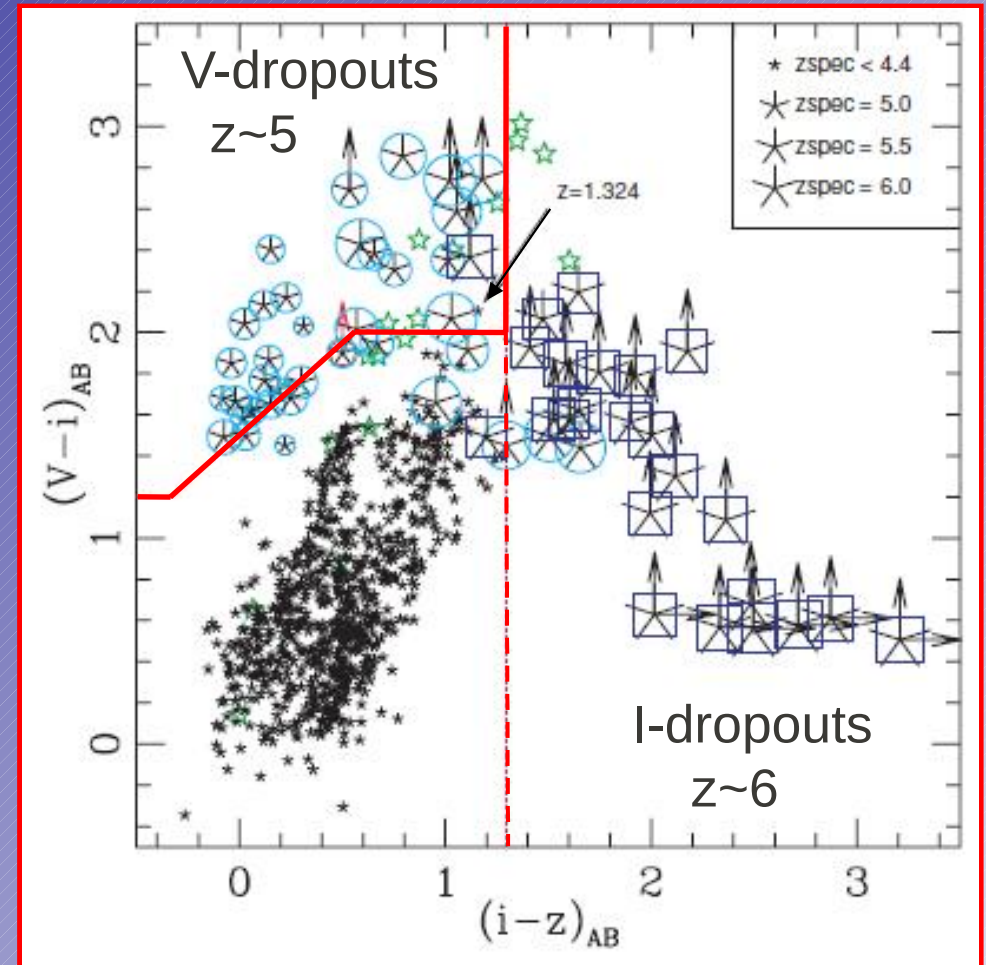
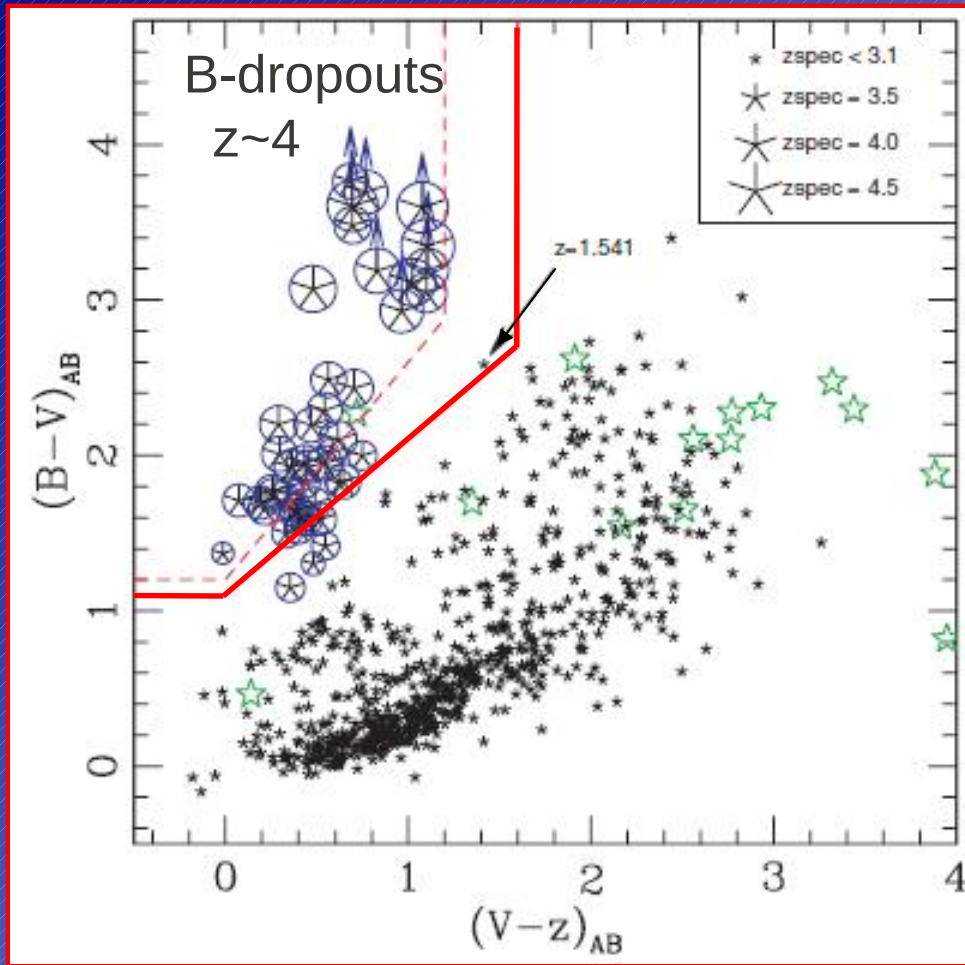
Future ...



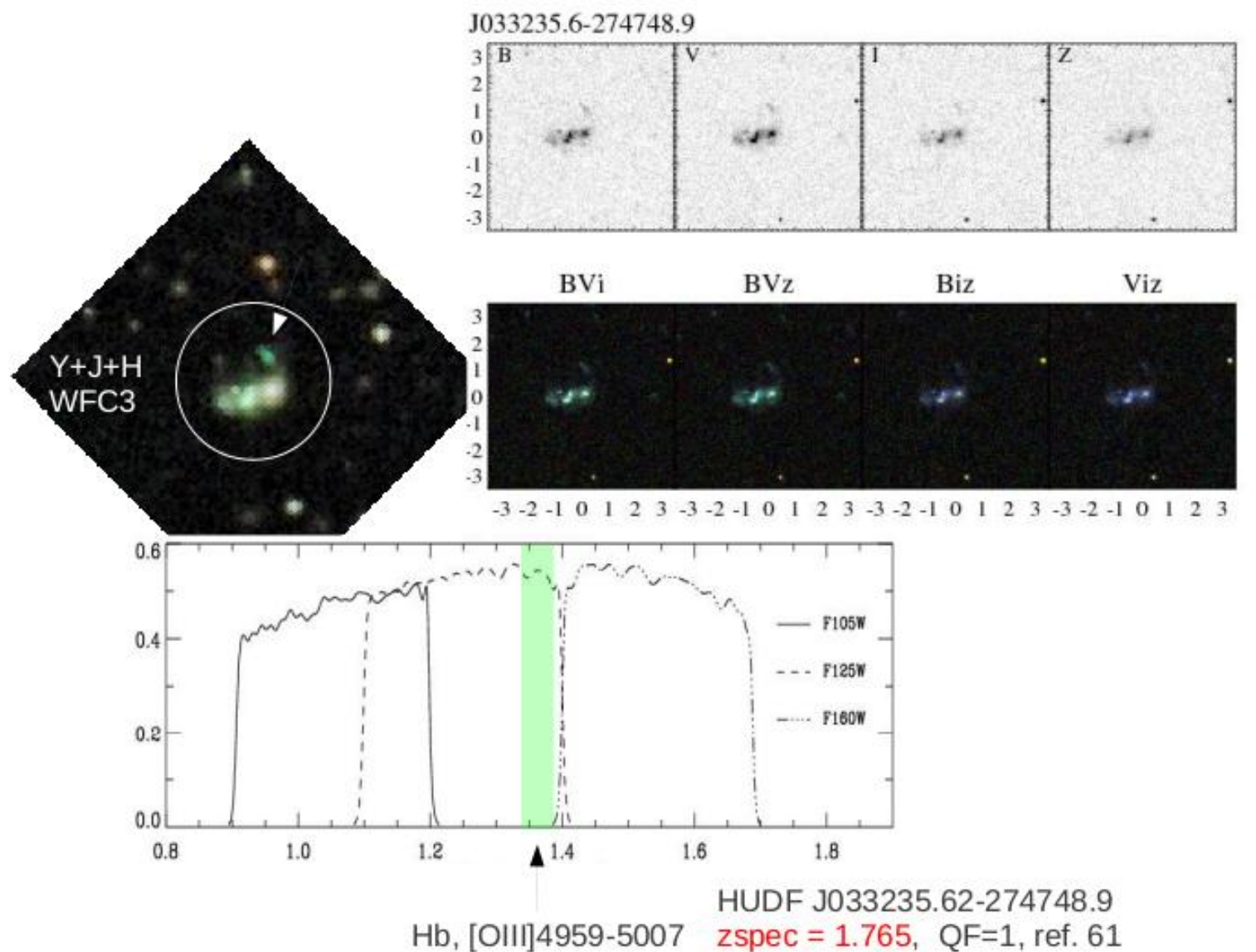
Nonino, Vanzella et al. in prep.

Color selection of galaxies at redshift 4, 5 and 6

(Vanzella+09):



- 109 out of 202 selected targets have measured redshift (54% $65 \text{ } z_{850} > 26 - z < 3.6$ critical).
- 96% , 89% and 82% of B,V and I-drops have redshift in the expected range.
- 12 low- z interlopers: 10 stars and two $z < 2$ gal.
- 5 high- z serend. discovered.







“With increasing distance our knowledge fades and fades rapidly. Eventually we reach the dim boundary, the outmost limits of our telescope. The search will continue. Not until the empirical resources are exhausted need we pass on to the dreamy realm of speculation.”

Edwin Hubble, Realm of the Nebulae, 1936

Thank you !